

AUDIT & GOVERNANCE COMMITTEE

16th January 2014

WORK PROGRAMME 2013/14 & ONGOING

2013/14 MUNICIPAL YEAR

24th April 2014

- **Committee Action List & Work Programme**
- **Operational Risk Reporting (including risk register presentations from Head of Leisure & Cultural Services, Head of Housing and Head of Business Transformation) & Risk Monitoring** (including any oral updates from those members of the Committee charged with overseeing Risk Monitoring on behalf of the Committee)
- **Benefits Investigations & Fraud Monitoring** (including any oral updates from those members of the Committee charged with overseeing Fraud Monitoring on behalf of the Committee)
- **Accounting Standards (Statement of Accounting Policies)**
- **Annual Governance Statement 2013/14 – Progress Update**
- **Treasury Management Strategy, Prudential Indicators and Minimum Revenue Policy Provision 2014/15**
- **External Audit – Auditing Standards 2013/14 (Communication with the Audit and Governance Committee and Executive)**
- **External Audit – Progress Report** (if applicable)
- **External Audit – Audit Plan 2013/14**
- **External Audit – Audit Fee Letter 2014/15**
- **Internal Audit – Monitoring Report**
- **Internal Audit – Annual Audit Plan 2014/15 (Final)**
- **End of Year Review of Operation and Effectiveness of the Audit & Governance Committee (Chair’s Report) & annual review of the Committee’s Procedure Rules** (Minute 4 (Audit and Governance Committee – Procedure Rules) of 28th June 2012 meeting refers)
- **Calendar of Meetings 2014/15**

Meeting date to be determined

- **External Audit – ‘Councillors on the frontline’**
To consider the Select Committee report on the role councillors play in the community (Minute 45 (Grant Thornton – Audit Update) of 25th April 2013 meeting refers)

AUDIT & GOVERNANCE COMMITTEE

16th January 2014

2014/15 MUNICIPAL YEAR (Meeting dates to be determined)

June 2014

- **Committee Action List & Work Programme**
- **Operational Risk Reporting & Risk Monitoring** (including any oral updates from those members of the Committee charged with overseeing Risk Monitoring on behalf of the Committee)
- **Benefits Investigations & Fraud Monitoring** (including any oral updates from those members of the Committee charged with overseeing Fraud Monitoring on behalf of the Committee)
- **Annual Governance Statement 2013/14 (for inclusion in the Statement of Accounts)**
- **External Audit – Progress Report** (if applicable) **including oral update on Value for Money Conclusion**
- **Internal Audit – Monitoring Report**
- **Internal Audit – Annual Report 2013/14 (including review of effectiveness of Internal Audit)**

(Note: Copies of the draft Annual Accounts and Annual Governance Statement will be sent to all members of the Committee at the same time as they are issued to the External Auditors.

There will also be a Member Briefing on the Statement of Accounts for the members of the Committee in early/mid-September 2014, prior to the Committee's formal consideration of the Statement of Accounts at the meeting of the Committee in late September 2014.)

September 2014

- **Committee Action List & Work Programme**
- **Operational Risk Reporting & Risk Monitoring** (including any oral updates from those members of the Committee charged with overseeing Risk Monitoring on behalf of the Committee)
- **Benefits Investigations & Fraud Monitoring** (including any oral updates from those members of the Committee charged with overseeing Fraud Monitoring on behalf of the Committee)
- **Audited Statement of Accounts 2013/14**
- **Annual Governance Statement 2014/15 – Progress Update**
- **Review of Independent Member on the Committee** (initial 12-month trial appointment ends December 2014)
- **External Audit – Progress Report** (if applicable)
- **External Audit – Audit Findings Report 2013/14**
- **Internal Audit – Monitoring Report**

AUDIT & GOVERNANCE COMMITTEE

16th January 2014

16th January 2015

- **Committee Action List & Work Programme**
- **Operational Risk Reporting & Risk Monitoring** (including any oral updates from those members of the Committee charged with overseeing Risk Monitoring on behalf of the Committee)
- **Corporate Risk Register** (if applicable for 2015)
- **Benefits Investigations & Fraud Monitoring** (including any oral updates from those members of the Committee charged with overseeing Fraud Monitoring on behalf of the Committee)
- **Annual Governance Statement 2014/15 – Progress Update**
- **Treasury Management Strategy, Prudential Indicators and Minimum Revenue Provision Policy 2015/16** (or may go to April 2015 meeting)
- **External Audit – Progress Report** (if applicable)
- **External Audit – Annual Audit Letter**
- **External Audit – Grant Claims Report**
- **Internal Audit – Monitoring Report**
- **Internal Audit – Annual Audit Plan 2015/16 (Draft)**